

FORMS PTO-1390
(REV 10-96)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

Islam 2

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

60/075,013 **09/646859**

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

PCT/US99/03367

INTERNATIONAL FILING DATE

17 February 1999

PRIORITY DATE CLAIMED

17 February 1998

TITLE OF INVENTION

SELF-SYNCHRONIZATION OF AN OPTICAL PACKET NETWORK USING SEED PULSES
EXTRACTED FROM WITHIN THE PACKETS

RECEIVED**APPLICANT(S) FOR DO/EO/US**

Corning Incorporated

01 NOV 2000

Legal Staff

International Division

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to bring national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ has been transmitted by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. To 16. Below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
Petition under 37 CFR 1.37(b)

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

60/075,013

09/646859

INTERNATIONAL APPLICATION NO.

PCT/US99/03367

KEY'S DOCKET NUMBER

Islam 2

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a)(1)-(5):**

Search Report has been prepared by the EPO or JPO.....\$930.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$720.00
 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but
 international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$790.00
 Neither international preliminary examination fee (37 CFR 1.482) nor international search
 fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1070.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims
 satisfied provisions of PCT Article 33(2)-(4).....\$98.00

CALCULATIONS**PTO-USE ONLY****ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 930.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from
 the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	20 - 20 =	0	X \$22.00
Independent claims	3 - 3 =	0	X \$82.00
MULTIPLE DEPENDANT CLAIM(S) (if applicable)			+ \$270.00

TOTAL OF ABOVE CALCULATIONS =

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity
 Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) +

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(f)). +

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =**Amount to be
refunded:****Charged:** \$ 930.00

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
 b. ☒ Corning Incorporated hereby authorizes use of Deposit Account No. 03-3325 in the amount of
 \$930.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or
 credit any overpayment to Deposit Account No. 03-3325. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

Send all correspondence to:

Philip G. Alden
 Corning Incorporated
 SP-TI-03-1
 Corning, NY 14831

Signature

Philip G. Alden

Registration No.: 32,189

(607) 974-8803